



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Attorney Docket No.	3712/31
Application Number	09/995,101
Filing Date	November 27, 2001
First Named Inventor	Paul Fazio
Group Art Unit	1744
Examiner	

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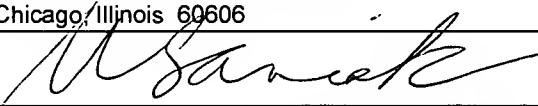
## ENCLOSURES (check all that apply)

<input type="checkbox"/> Amendment / Response to Restriction/Election Req.	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Drawings:	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input checked="" type="checkbox"/> Post Card Receipt
<input type="checkbox"/> Extension of Time Request (duplic)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/>
<input checked="" type="checkbox"/> Information Disclosure Statement, PTO-1449, art	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Applicant claims small entity status.	<input type="checkbox"/>
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request of Refund	<input type="checkbox"/>
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0930. A duplicate copy of this sheet is enclosed.		

## CALCULATION OF FEE

					Small Entity		Large Entity		
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus		0	x \$9=	0		x \$18=	
Indep.		Minus		0	x \$42=	0		x \$84=	
First Presentation of Multiple Dep. Claim					+\$140=	---		+\$280=	
					total add'l fee	\$ 0		total add'l fee	\$ 0

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael H. Baniak Registration No. 30,608 BANIAK PINE & GANNON 150 N. Wacker Drive, Suite 1200 Chicago, Illinois 60606		
Signature			
	Date:	March 28, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: March 28, 2002

Signature		Date: March 28, 2002
Michael H. Baniak		

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on March 28, 2002.

Michael H. Baniak

Name of Applicant, Assignee, or Registered Representative



Signature

March 28, 2002

Date of Signature



PATENT

3712/31

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

PAUL FAZIO

Serial No. 09/995,101

Filed: November 27, 2001

Title: BRUSH MOUNTING APPARATUS WITH  
RESTRICTED SWING AND METHODS  
THEREOF

Examiner:

Group Art Unit: 1744



**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicant brings to the attention of the Examiner the documents listed in the enclosed form PTO-1449. The documents listed are also enclosed. Applicant requests that these documents be reviewed, considered and made of record.

It is believed that no fees are due for filing this communication since Applicant has not received a first Office Action.

March 28, 2002

Respectfully submitted,

  
Michael H. Baniak  
Registration No. 30,608

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FORM PTO-1449 (01-01-00)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Sheet 1 of 1

SERIAL NO.	09/995,101	CASE NO.	3712/31
FILING DATE	November 27, 2001	GROUP ART UNIT	1744
APPLICANT(S):			PAUL FAZIO

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A1	3,304,565	02/21/1967	Fuhring	15/21	05/17/1965
A2	3,471,883	10/14/1969	Ennis	15/21	05/07/1968
A3	3,772,724	11/20/1973	Wilson	15/21 D	12/30/1971
A4	3,708,816	01/09/1973	Napoli	15/21 E	09/09/1970
A5	3,777,326	12/11/1973	Haley <i>et al.</i>	15/21 D	12/10/1971
A6	3,793,667	02/26/1974	Capra	15/21 E	03/27/1972
A7	4,021,877	05/10/1977	Miner	15/53 A	06/27/1975
A8	4,194,923	03/25/1980	Johnson	134/6	07/14/1978
A9	4,198,722	04/22/1980	Ennis	15/53 AB	06/15/1978
A10	4,225,995	10/07/1980	Ennis	15/53 AB	03/06/1978
A11	4,270,958	06/02/1981	Ennis	134/6	09/07/1979
A12	4,299,003	11/10/1981	Ennis	15/53 AB	09/17/1980
A13	4,332,625	06/01/1982	Ennis	134/6	05/05/1980
A14	4,354,291	10/19/1982	Ennis	15/53 AB	06/13/1980
A15	5,715,558	02/10/1998	Johnson	15/53.3	04/24/196

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
A16	SU-500-998	01/26/1973	Russia	B 60S 3/04		X
A17	62-74754	04/06/1987	Japan	B 60S 3/06		X

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
A18	Wrap Araound A.V.W. Equipment Co., Inc. brochure	

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EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.